

# Two-wayness: Automata & Transducers

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PhD defense

## Introduction

- Computation

- Turing machines

- Finite automata

## Descriptive complexity of finite automata

- Main questions and known results

- Outer-nondeterministic finite automata

- Determinization of outer-nondeterministic finite automata

## Transducers

- One-way transducers

- Two-way transducers

- Hadamard operations

- Mirror operation

- Unary transducers

## Conclusion

## Computation

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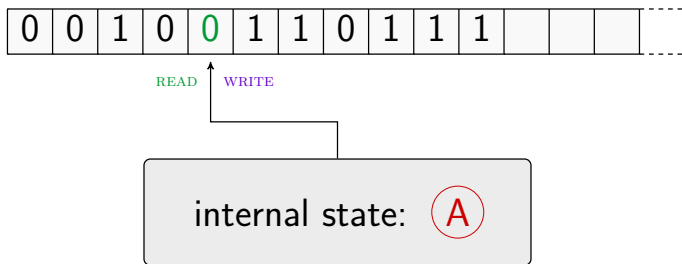
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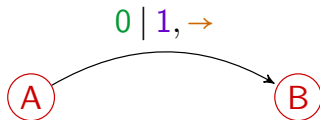
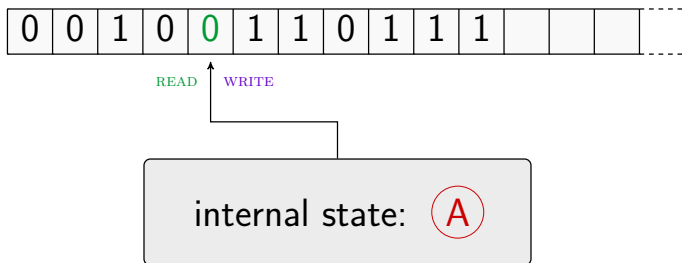
- start with  $x$
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- start with  $x$
- ▶ **Impossible**

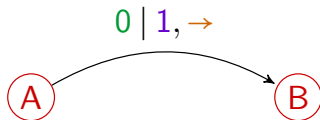
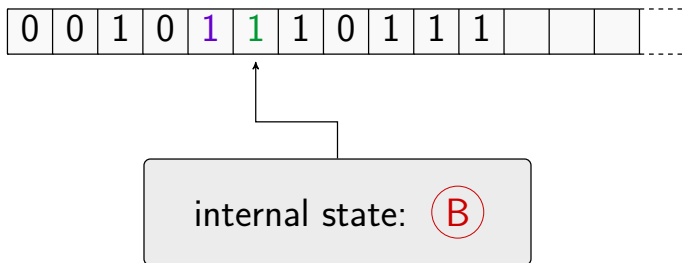
# Turing machines



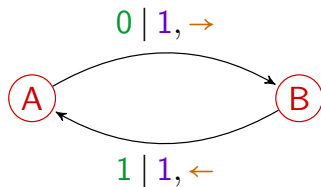
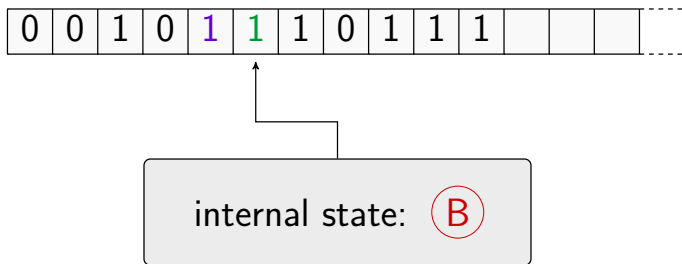
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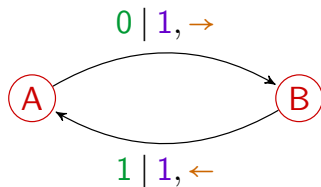
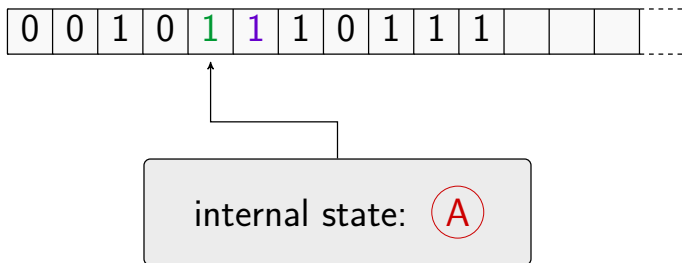
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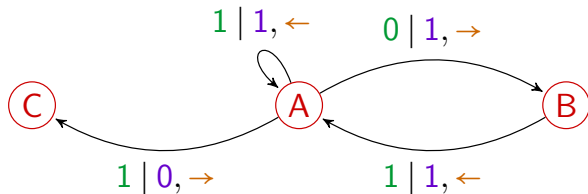
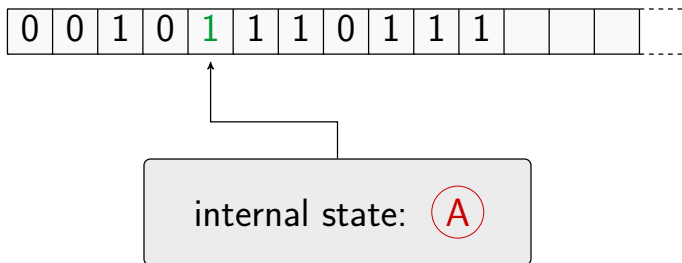
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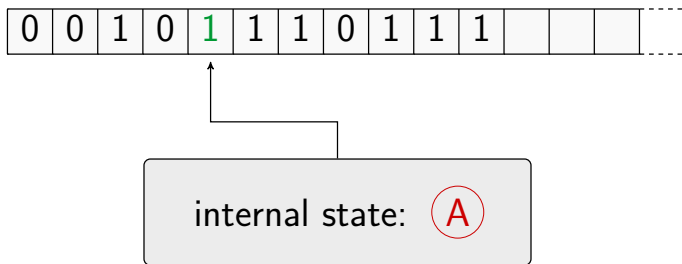


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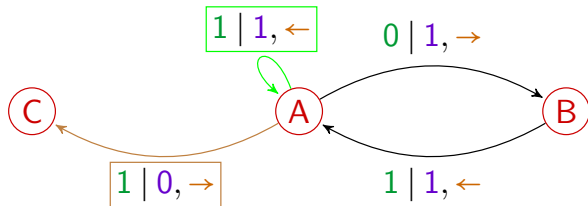




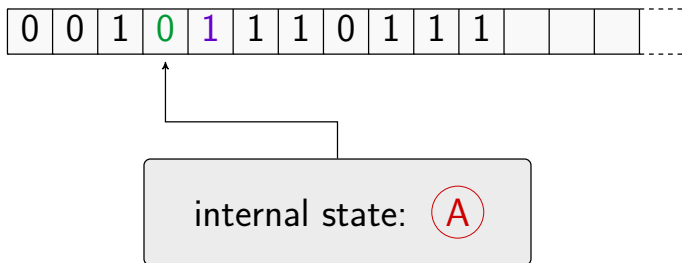
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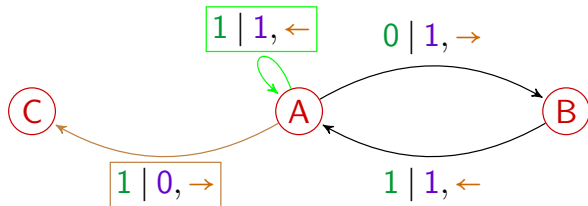
nondeterministic choice:  or



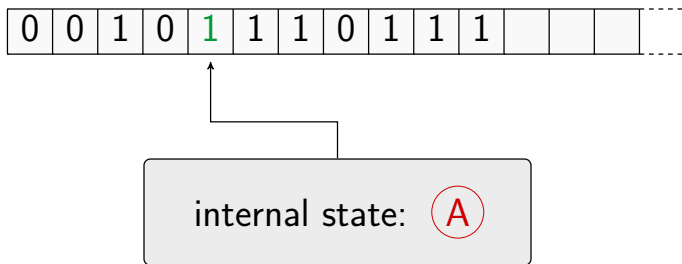
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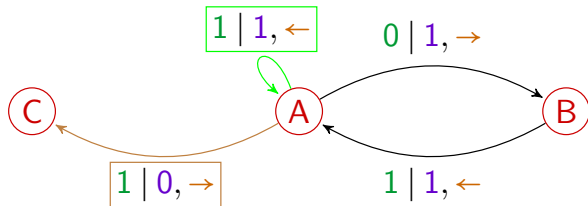
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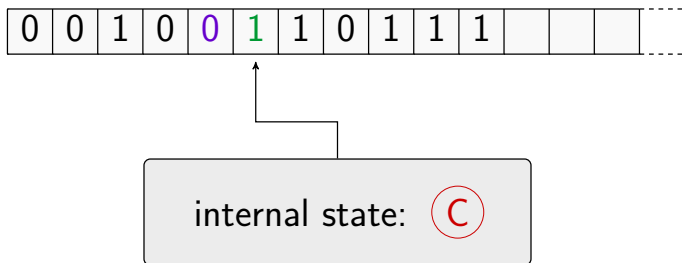
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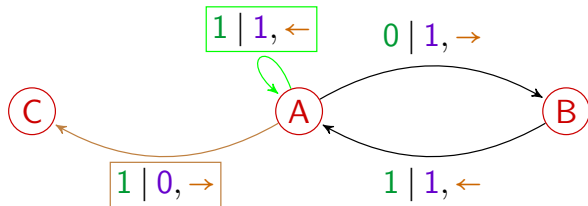
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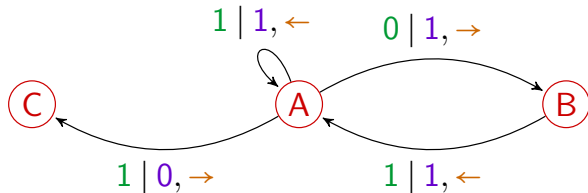
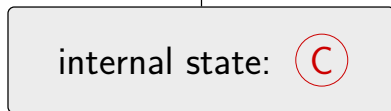
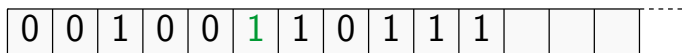
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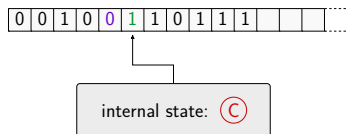
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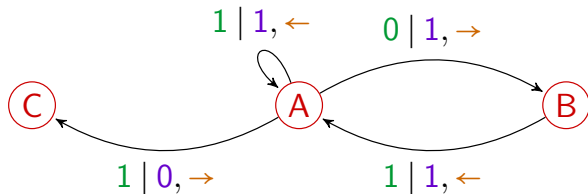
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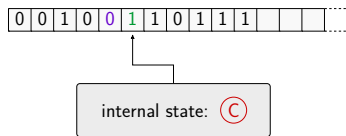
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## Huge computational power

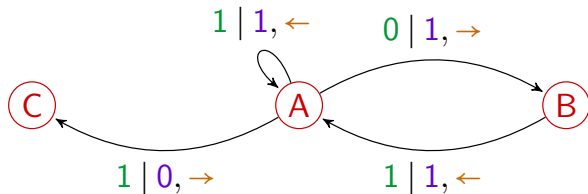


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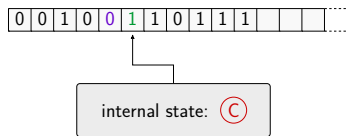


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- ▶ infinite memory

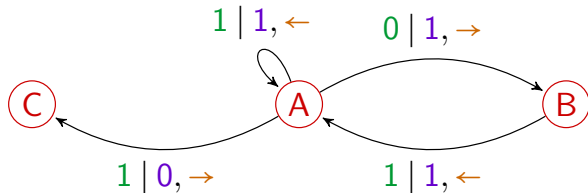


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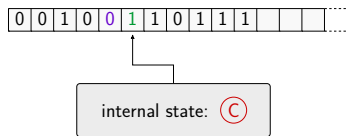
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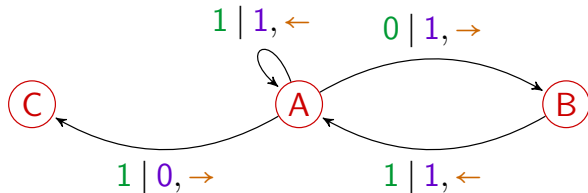
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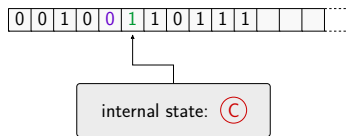
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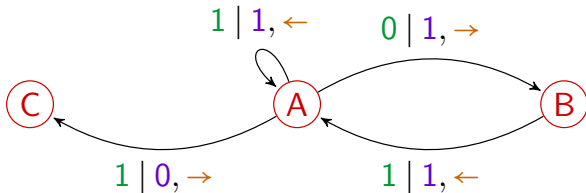


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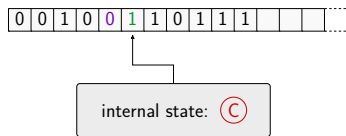
- ▶ undecidability of the halting problem

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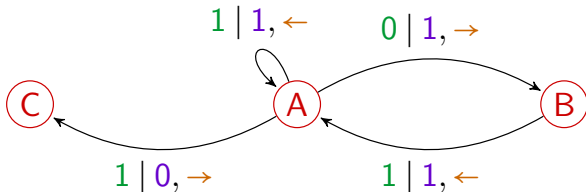
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- ▶ undecidability of the halting problem
- ▶ contribution of nondeterminism

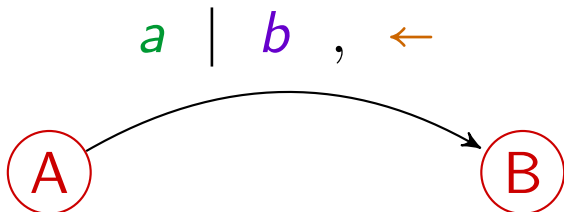
e.g.,  $P \stackrel{?}{=} NP$  and  $L \stackrel{?}{=} NL$



# Finite automata

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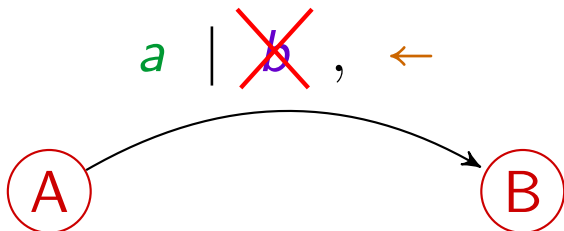
A **finite automata** ( $\text{FA}$ ) is a one-way read-only Turing machine.



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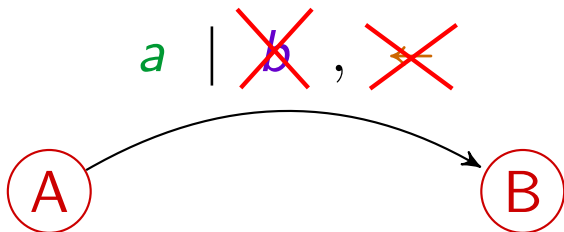
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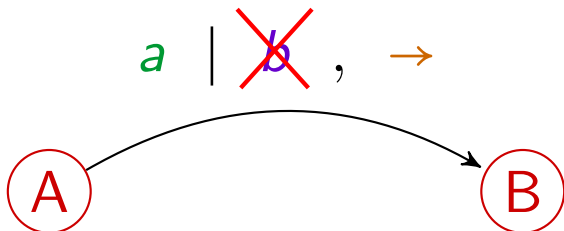
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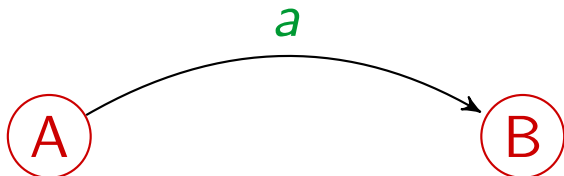
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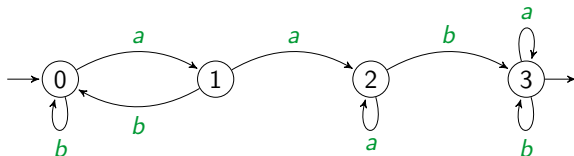
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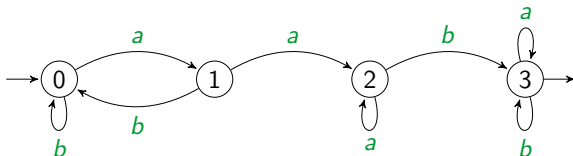


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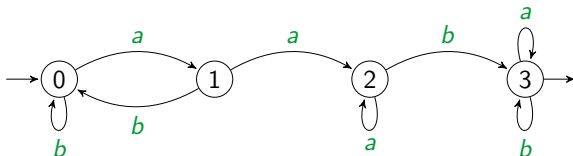
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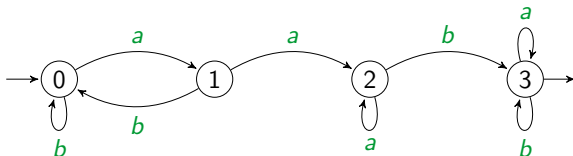
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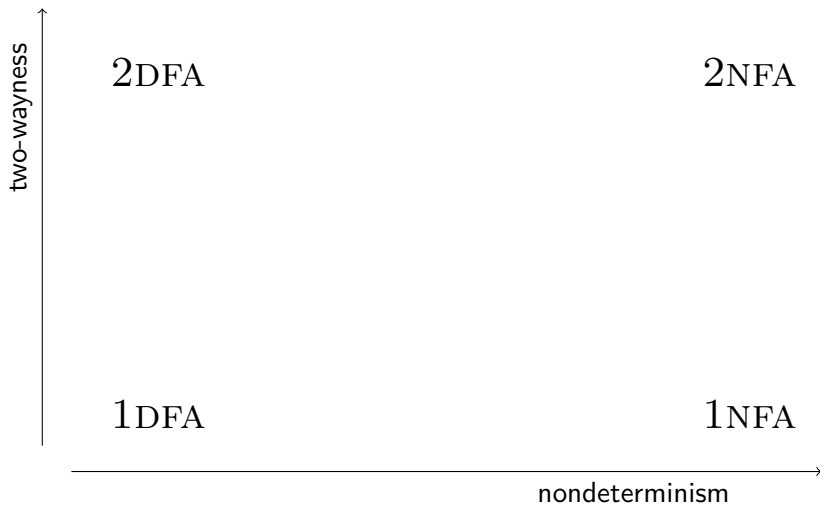
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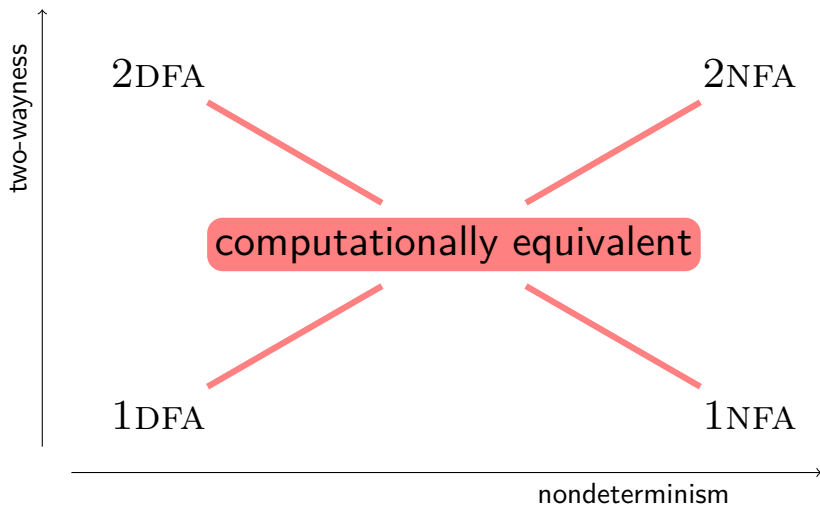
*finite automata* = *rational languages*

The smallest family including **finite languages**  
closed under **union**, **concatenation** and **Kleene star**.

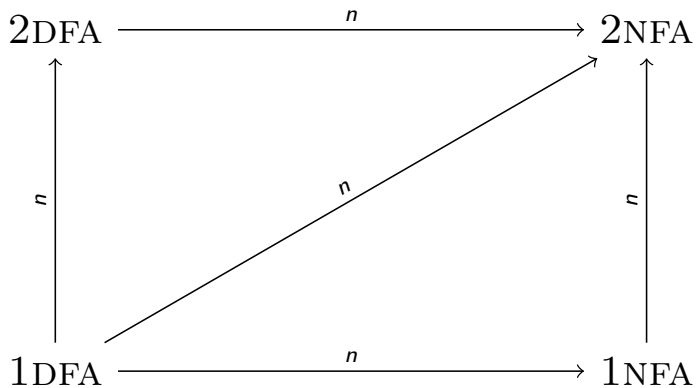
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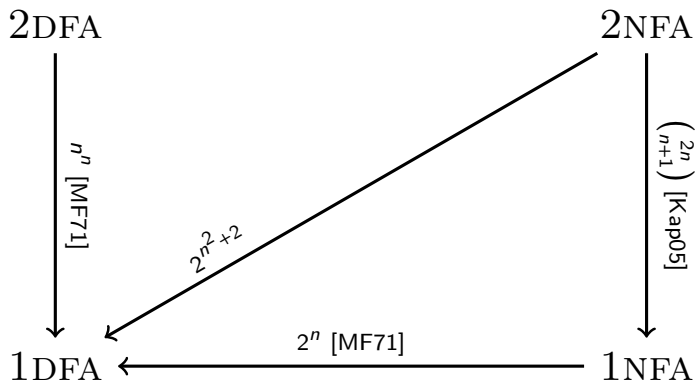
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natural simulations

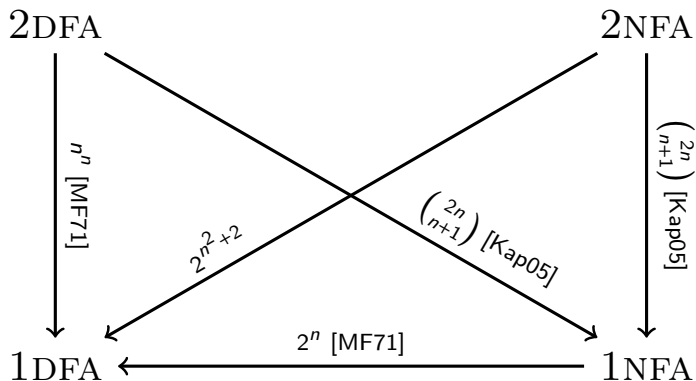


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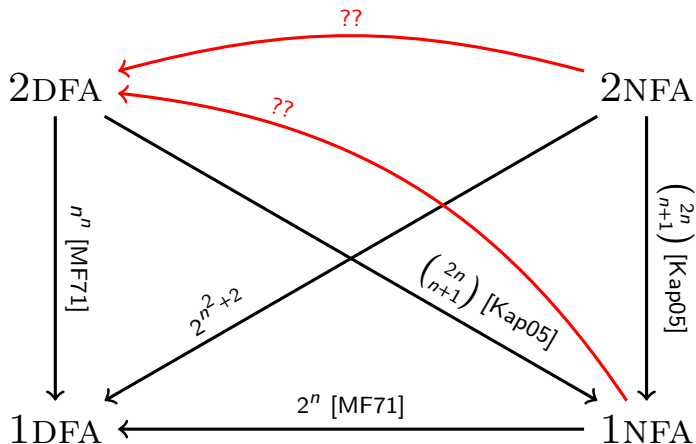
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## Two-wayness and nondeterminism



known results on simulations

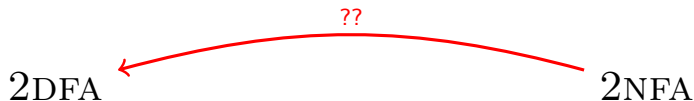
## Two-wayness and nondeterminism



The two main questions (Sakoda & Sipser 1978)

- ▶ the optimal cost of the simulation of 1NFA by 2DFA?
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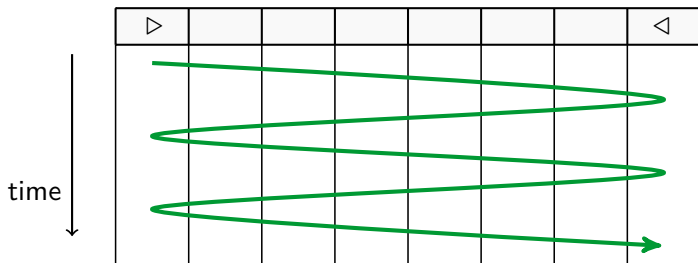
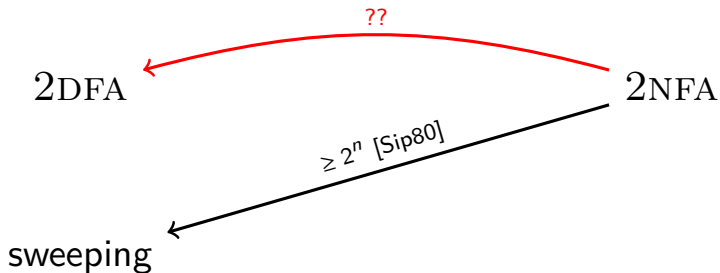
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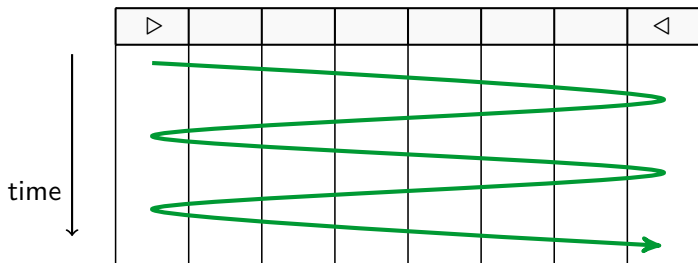
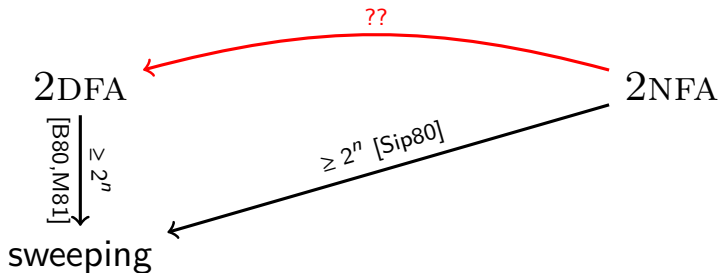
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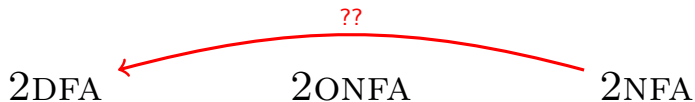
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# Two-wayness and nondeterminism



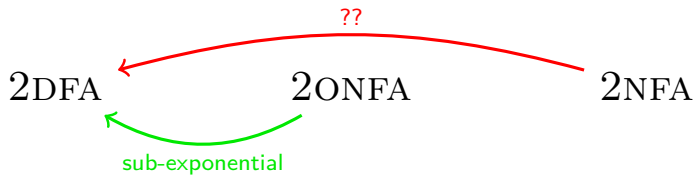
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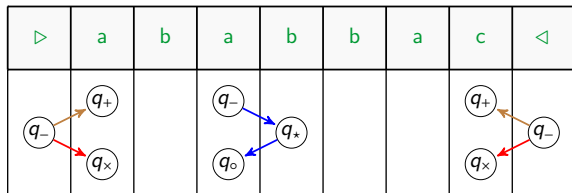
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# Outer-nondeterministic finite automata

## Definition (2ONFA)

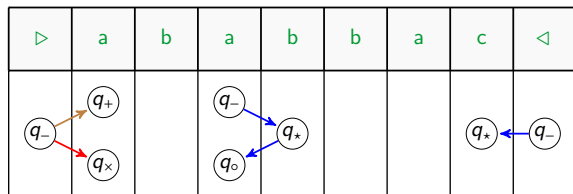
An **2-way** automaton is **outer-nondeterministic** if nondeterministic choices are restricted to the endmarkers only.



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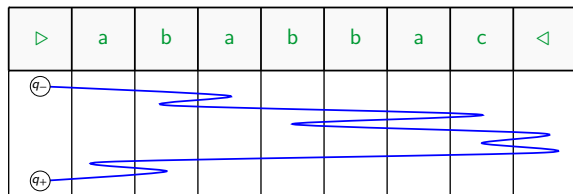
## Proposition

With a *linear increase* of the number of states, nondeterministic choices are restricted to the *left endmarker* only.

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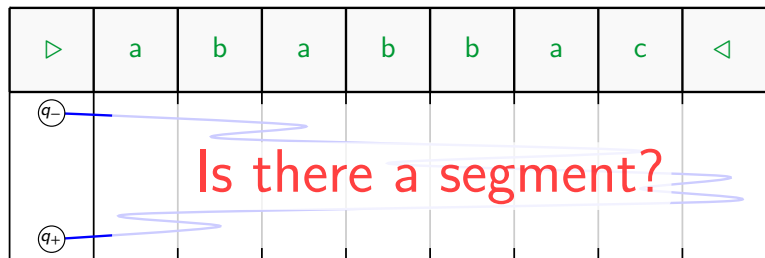
*With a linear increase of the number of states, nondeterministic choices are restricted to the left endmarker only.*

## Definition

A **segment** is a computational path between two successive visits of the left endmarker.

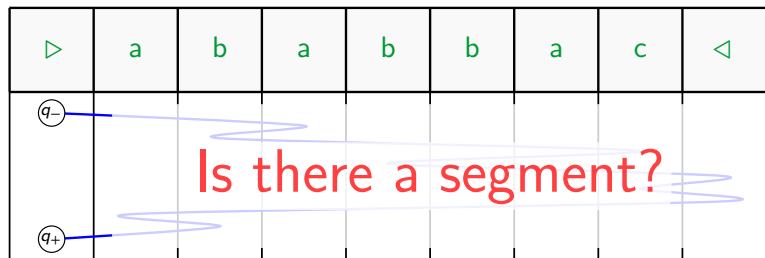
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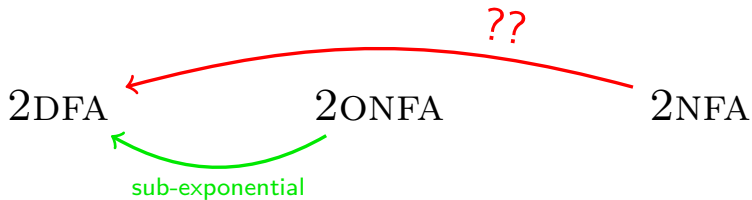
### Proposition

*Answer with a 2DFA of linear size.*

### Proof.

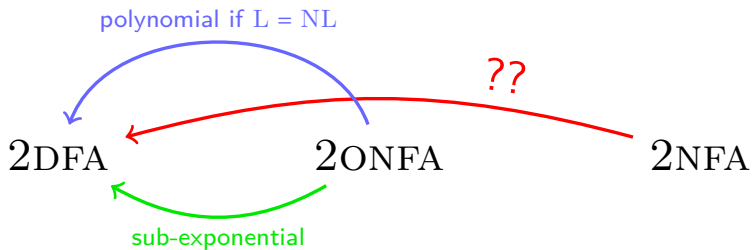
Adapt a Sipser's construction to avoid deterministic central loops. □





## Theorem

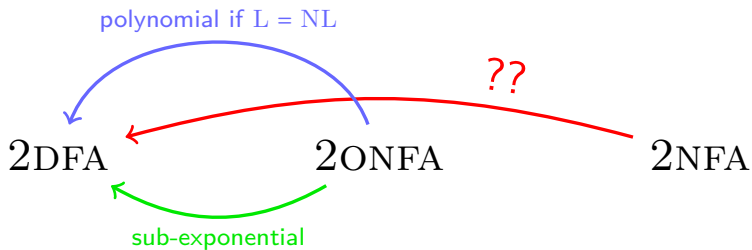
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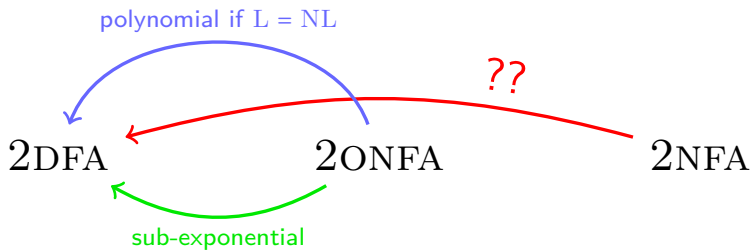


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## Further results

- ▶ Simulation by unambiguous 2ONFA of polynomial size.



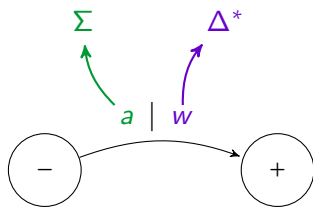
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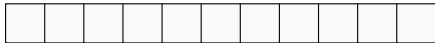
## Further results

- ▶ *Simulation by unambiguous 2ONFA of polynomial size.*
- ▶ *Simulation by a halting 2ONFA of polynomial size.*
- ▶ *Complementation by a halting 2ONFA of polynomial size.*

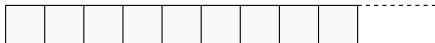
# Automata with output: 1-way transducers



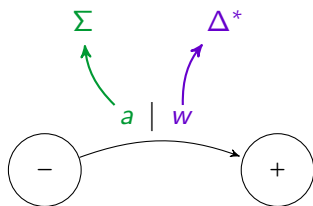
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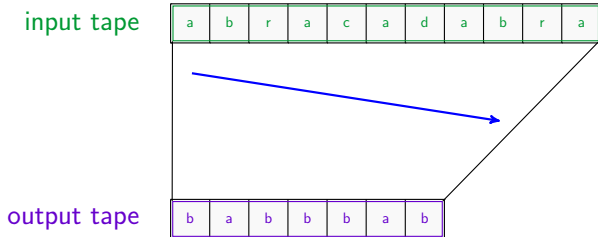
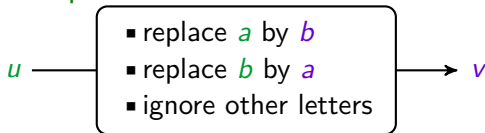
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## Example



# Equivalent formalisms

- ▶ Relations on words:

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Weighted Automata

# Rational operations

- ▶ Union

$$R_1 \cup R_2$$

- ▶ Componentwise concatenation

$$R_1 \cdot R_2 = \{(u_1 u_2, v_1 v_2) \mid (u_1, v_1) \in R_1 \text{ and } (u_2, v_2) \in R_2\}$$

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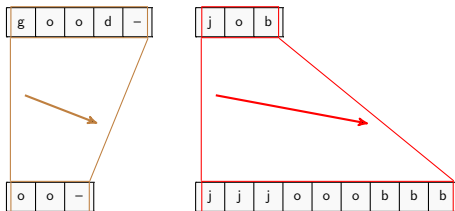
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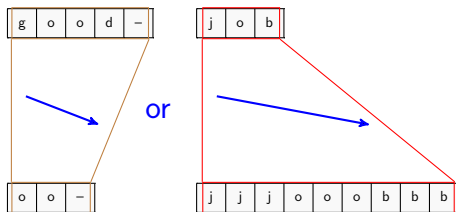
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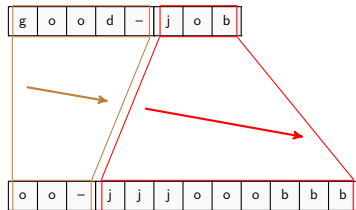
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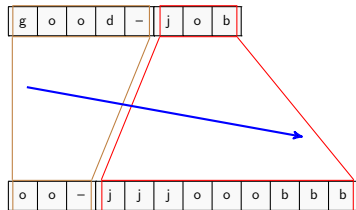
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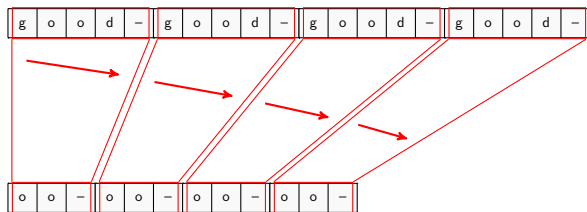
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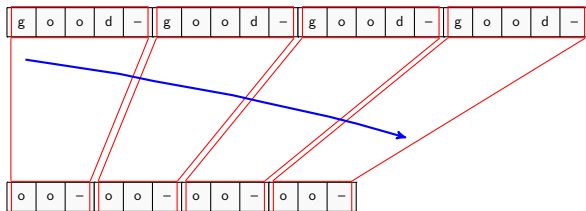
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## Definition ( $\text{RAT}(\Sigma^* \times \Delta^*)$ )

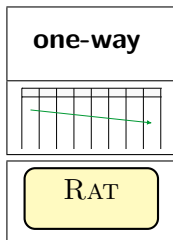
The family of Rational relations is the smallest family:

- ▶ including **finite relations**
- ▶ closed under **Rational operations**

# One-way is rational

Theorem (Elgot, Mezei - 1965)

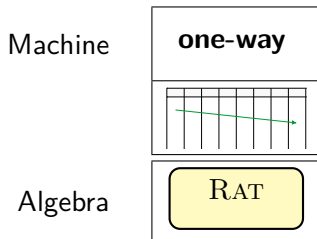
*1-way transducers* =  $\text{RAT}$ .



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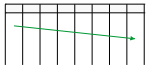
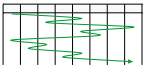
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# What about **two-way** transducers?

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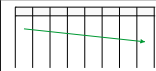
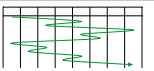
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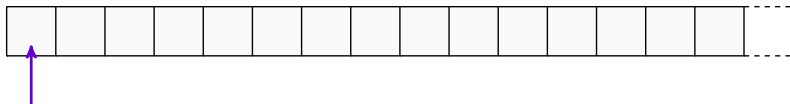
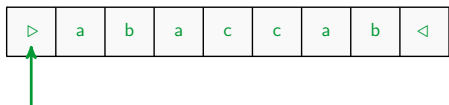
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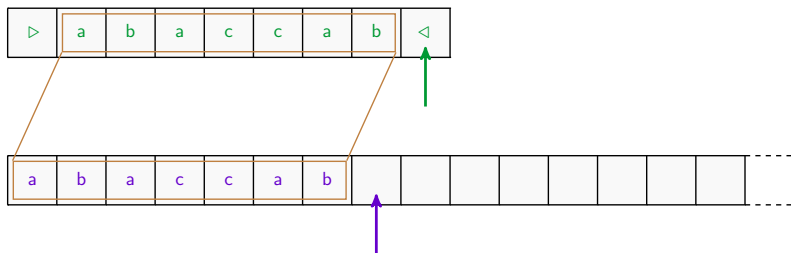
Machine	<b>one-way</b>	<b>two-way</b>
		
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Most of the known results on 2-way transducers concern the **functional** (=deterministic) case...

A simple example:  $\text{SQUARE} = \{(w, ww) \mid w \in \Sigma^*\}$

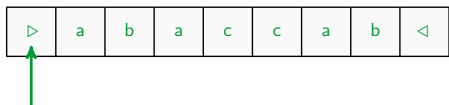


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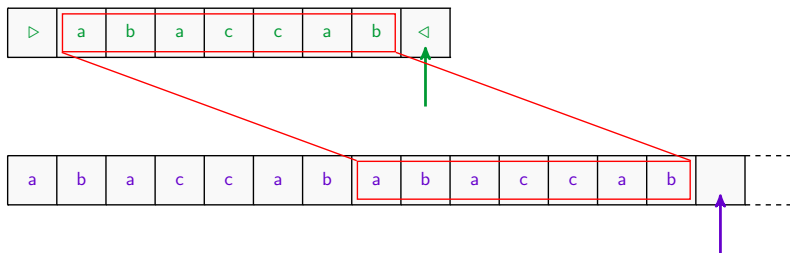
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- ▶ copy the input word
- ▶ rewind the input tape

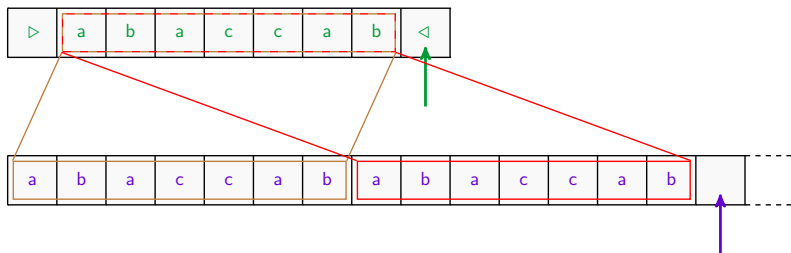


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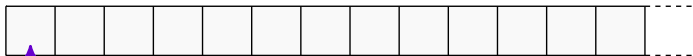
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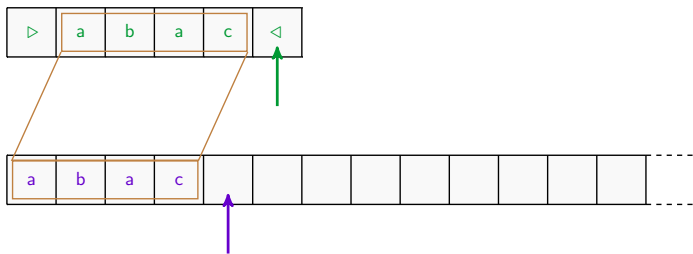


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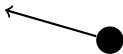
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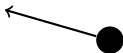
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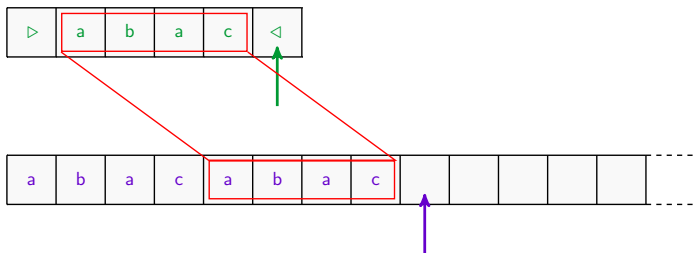
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copy the input word  $\longrightarrow$  rewind the input tape



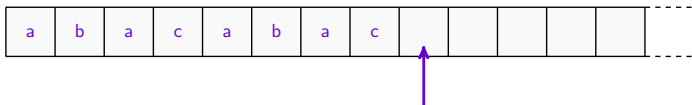
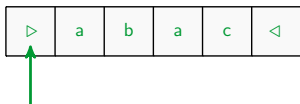
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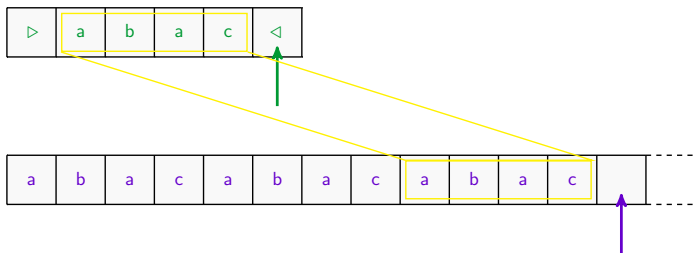
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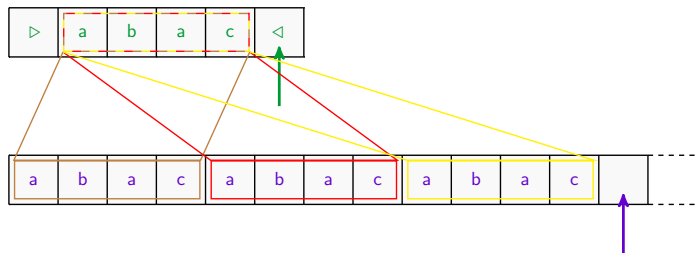


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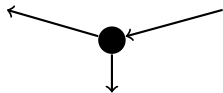




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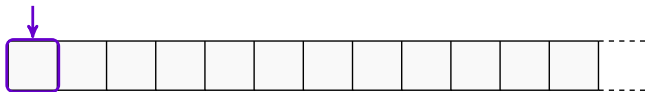
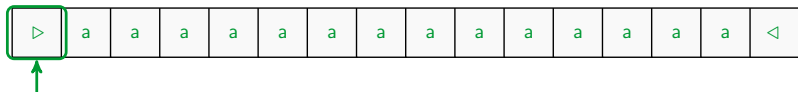


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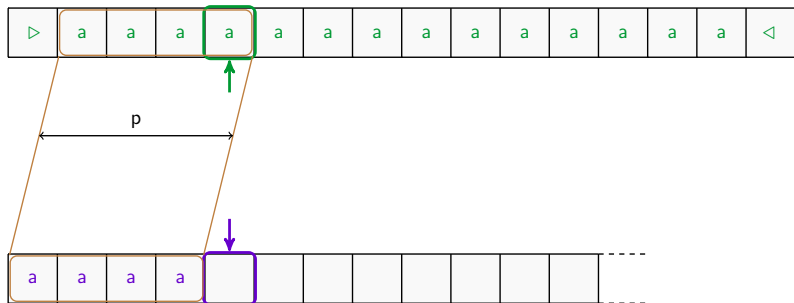


accept and halt with nondeterminism

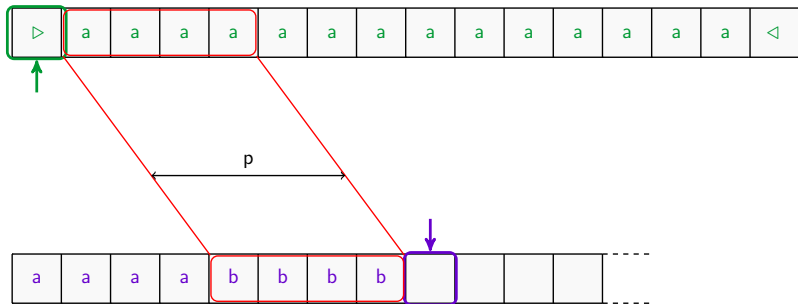
A last one: 2-PREF =  $\{(a^n, a^p b^p) \mid p \leq n\}$



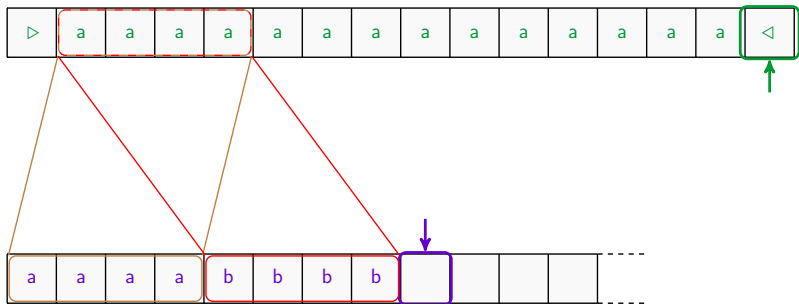
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- ▶ simulate  $\mathcal{T}_1$
- ▶ rewind the input tape
- ▶ simulate  $\mathcal{T}_2$

example:

- ▶ SQUARE = ID  $\oplus$  ID



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- ▶ H-star  $R^{H^*} = \{(u, v_1 v_2 \cdots v_k) \mid \forall i (u, v_i) \in R\}$

# Hadamard operations

- ▶ Union  $R_1 \cup R_2$
- ▶ H-product  $R_1 \oplus R_2 = \{(u, v_1 v_2) \mid (u, v_1) \in R_1 \text{ and } (u, v_2) \in R_2\}$
- ▶ H-star  $R^{\text{H}^*} = \{(u, v_1 v_2 \dots v_k) \mid \forall i (u, v_i) \in R\}$

- ▶ repeat
  - ▶ simulate  $\mathcal{T}$
  - ▶ rewind the input tape
- ▶ or accept nondeterministically

example:

- ▶  $\text{POWERS} = \text{ID}^{\text{H}^*}$

# Hadamard operations

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## Definition ( $\text{HAD}(\Sigma^* \times \Delta^*)$ )

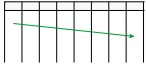
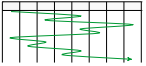
The family of **Hadamard relations** is the smallest family:

- ▶ including **rational relations**
- ▶ closed under **Hadamard operations**

# What about two-way transducers?

## Theorem

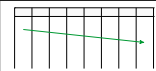
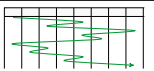
*1-way transducers* = RAT.

one-way	two-way
 A diagram showing a single green arrow pointing from left to right across a grid of 10 vertical lines, representing a one-way transducer head.	 A diagram showing a green arrow that moves back and forth across a grid of 10 vertical lines, representing a two-way transducer head.
RAT	HAD??

# What about two-way transducers?

## Theorem

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one-way	two-way
	
RAT	<del>HAD??</del>

ex: 2-PREF

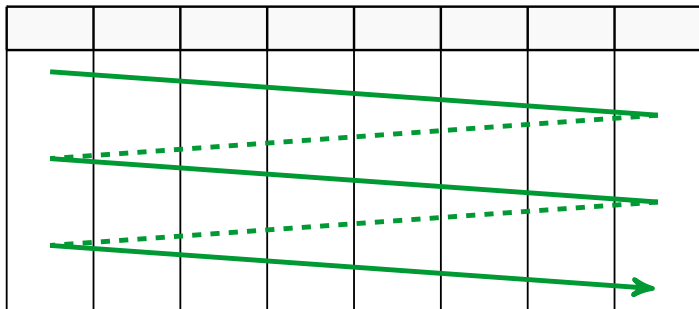
$a^n \mapsto \{a^p b^p, p \leq n\}$

# What about rotating transducers?

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1-way transducers = RAT.

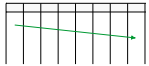
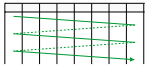
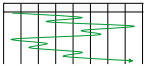
Rotating transducers



# What about rotating transducers?

Theorem

*rotating transducers* = HAD.

one-way	rotating	two-way
		
RAT	HAD	<del>HAD??</del>

ex: 2-PREF

$a^n \mapsto \{a^p b^p, p \leq n\}$

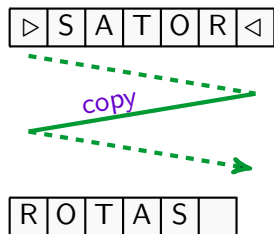
## Right to left scan of the input

- ▶ Mirror operation:

$$\overline{R} = \{(\overline{u}, v) \mid (u, v) \in R\}$$

Example

$$\overline{\text{ID}} = \{w, \overline{w}\}$$





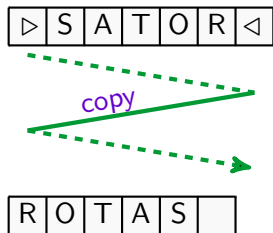
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Definition (MHAD ( $\Sigma^* \times \Delta^*$ ))

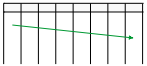
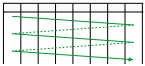
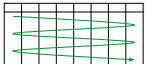
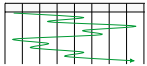
The family of **Mirror-Hadamard relations** is the smallest family:

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# What about sweeping transducers?

## Theorem

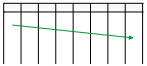
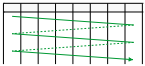
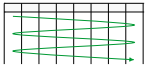
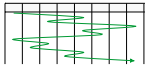
*sweeping transducers* = MHAD.

one-way	rotating	sweeping	two-way
			
RAT	HAD	MHAD	MHAD??

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## Theorem

sweeping transducers = MHAD.

transducer	one-way	rotating	sweeping	two-way
general	RAT	HAD	MHAD	<del>MHAD</del>
input unary				

ex: 2-PREF  $a^n \mapsto \{a^p b^p, p \leq n\}$

## Unary transducers

We focus on a weaker problem:

$$\Sigma = \{a\} \quad \text{and} \quad \Delta = \{a\}$$

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- ▶  $\text{USQUARE} = \text{UID} \oplus \text{UID} = \{(a^n, a^{2n}) \mid n \in \mathbb{N}\} \in \text{RAT}$

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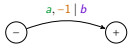
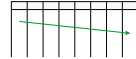
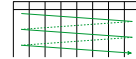
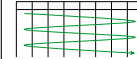
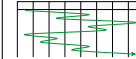
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- ▶  $\text{UPOWERS} = \text{UID}^{\text{H}^*} = \{(u^n, u^{kn}) \mid k, n \in \mathbb{N}\} \in \text{HAD} \setminus \text{RAT}$



# Characterization of unary two-way transductions

transducer	one-way	rotating	sweeping	two-way
				
<b>general</b>			MHAD	<del>MHAD</del>
<b>input unary</b>	RAT	HAD		<del>MHAD</del>
<b>input and output unary</b>				??

# Characterization of unary two-way transductions

## Theorem

2-way unary transducers = HAD

transducer	one-way	rotating	sweeping	two-way
general			MHAD	<del>MHAD</del>
input unary	RAT	HAD		<del>MHAD</del>
input and output unary		HAD		

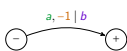
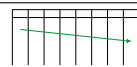
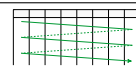
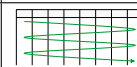
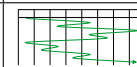
# Characterization of unary two-way transductions

## Theorem

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## Corollary

2-way unary transducers  $\rightarrow$  rotating transducers.

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general			MHAD	<del>MHAD</del>
input unary	RAT	HAD		
input and output unary		HAD		

# Characterization of unary two-way transductions

## Theorem

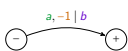
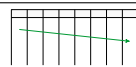
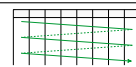
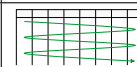
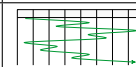
2-way unary transducers = HAD

## Corollary

2-way unary transducers  $\rightarrow$  rotating transducers.

## Example

$$\text{UPOWERS} = \{(a^n, a^{kn}) \mid k, n \in \mathbb{N}\}$$

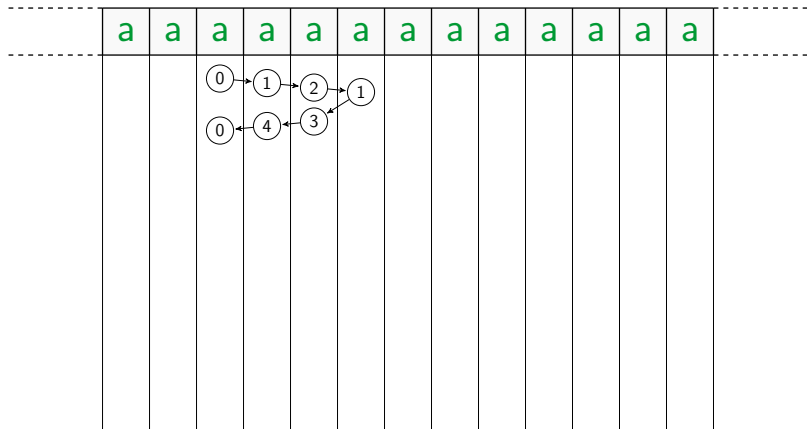
transducer	one-way	rotating	sweeping	two-way
				
general			MHAD	<del>MHAD</del>
input unary	RAT	HAD		<del>MHAD</del>
input and output unary		HAD		

## Key points of the proof

- ▶ commutative output
- ▶ deal with nondeterministic central loops ( $\Sigma = \{a\}$  and  $\Delta = \{a\}$ ).

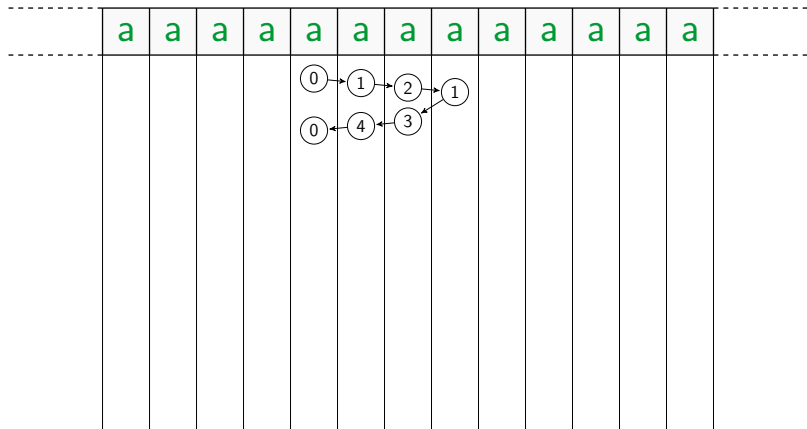
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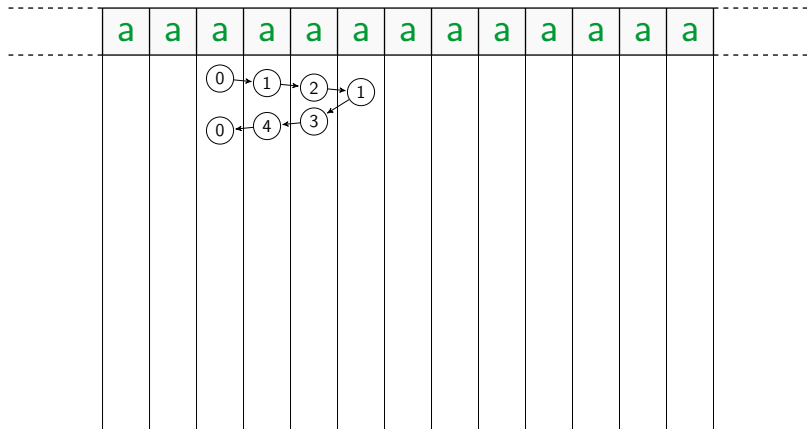
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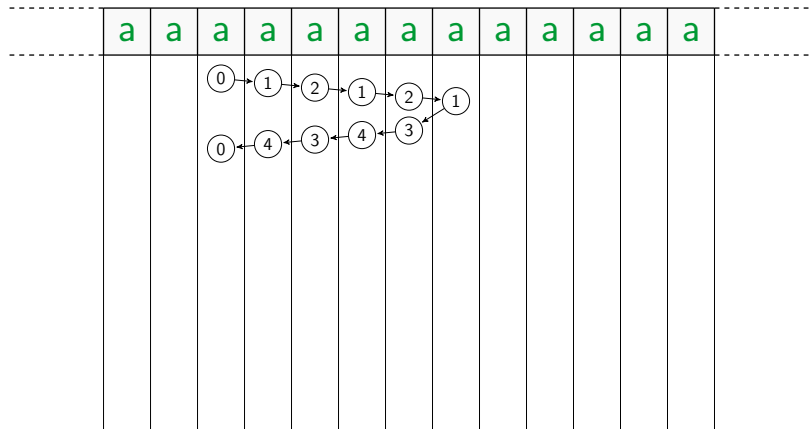
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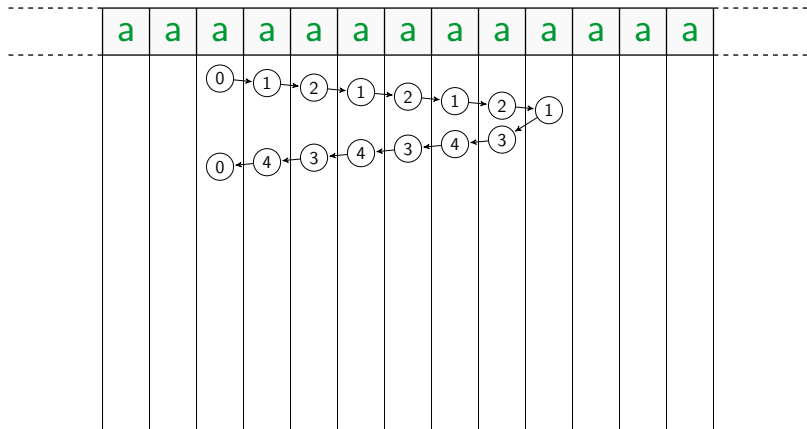
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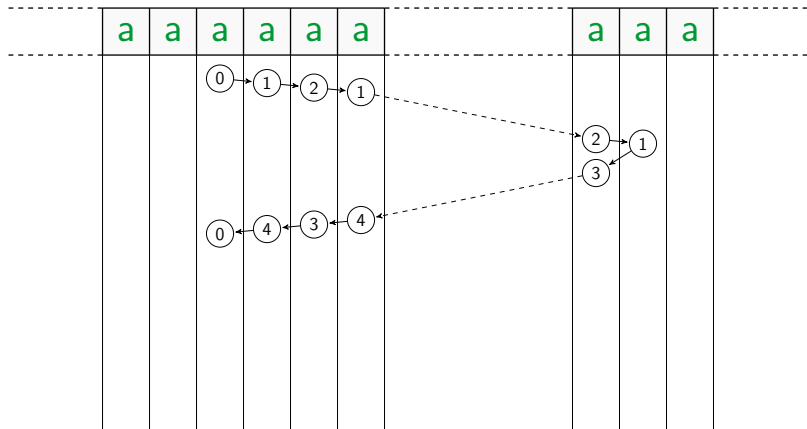
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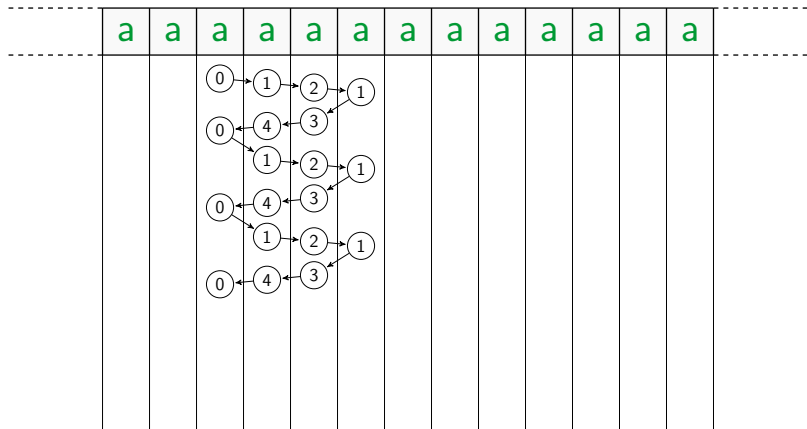
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# The output-unary case

arbitrary  $\Sigma$  and  $\Delta = \{a\}$

transducer	one-way	rotating	sweeping	two-way
<b>general</b>	RAT	HAD	MHAD	<del>MHAD</del>
<b>input</b> unary				
<b>output</b> unary			MHAD	??
<b>input and output</b> unary				

# The output-unary case

arbitrary  $\Sigma$  and  $\Delta = \{a\}$

## Proposition

$$HAD = MHAD$$

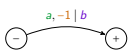
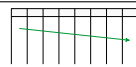
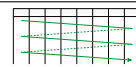
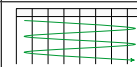
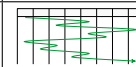
transducer	one-way	rotating	sweeping	two-way	
general					
input unary					
output unary					
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# The output-unary case

arbitrary  $\Sigma$  and  $\Delta = \{a\}$

Proposition

$$H_{AD} = MH_{AD} = \bigcup_{\text{finite}} R \overset{\in \text{RAT}}{\textcircled{H}} S^{H^*}$$

transducer	one-way	rotating	sweeping	two-way	
					
general	RAT	HAD	MHAD	<del>MHAD</del>	
input unary				<del>MHAD</del>	
output unary				HAD	??
input and output unary				HAD	??

# The output-unary case

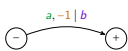
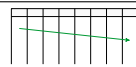
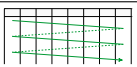
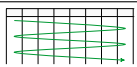
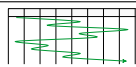
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Proposition

$$\text{HAD} = \text{MHAD} = \bigcup_{\text{finite}} R \stackrel{\in \text{RAT}}{\downarrow} \stackrel{\downarrow}{\textcircled{H}} S^{H^*}$$

Theorem

2-way output-unary  $\neq$  HAD

transducer	one-way	rotating	sweeping	two-way
				
general	RAT	HAD	MHAD	<del>MHAD</del>
input unary				<del>MHAD</del>
output unary				<del>MHAD</del>
input and output unary				



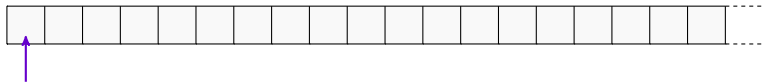
# An non-Hadamard output-unary transduction

$$\Sigma = \{a, \#\}$$

and

$$\Delta = \{a\}$$

$$R = \{(u, a^{kn}) \mid k, n \in \mathbb{N}, \#a^k\# \text{ is a factor of } u\}$$



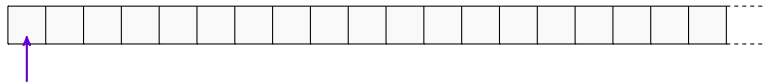
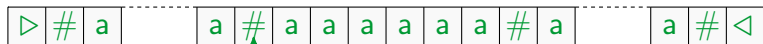
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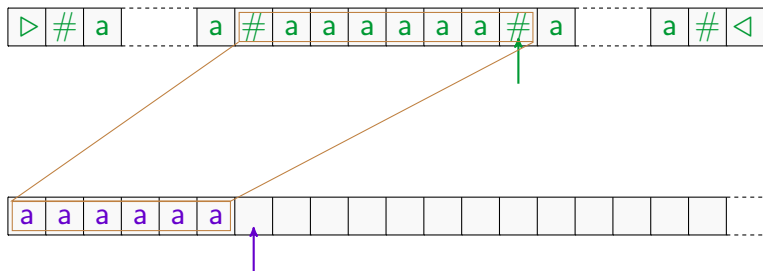
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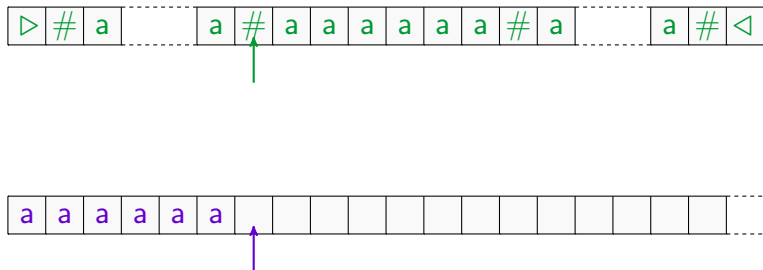
## An non-Hadamard output-unary transduction

$$\Sigma = \{a, \#\}$$

and

$$\Delta = \{a\}$$

$$R = \{(u, a^{kn}) \mid k, n \in \mathbb{N}, \#a^k\# \text{ is a factor of } u\}$$



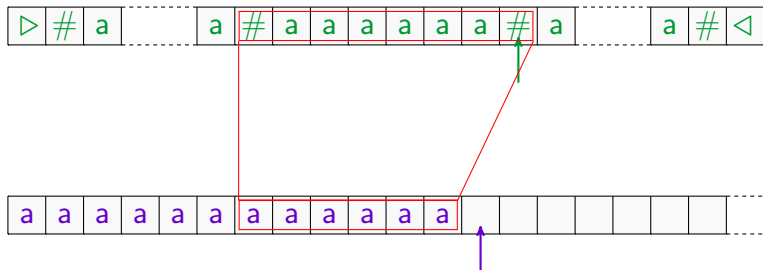
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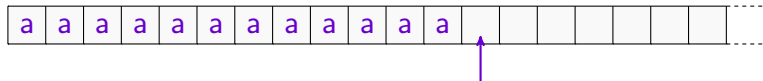
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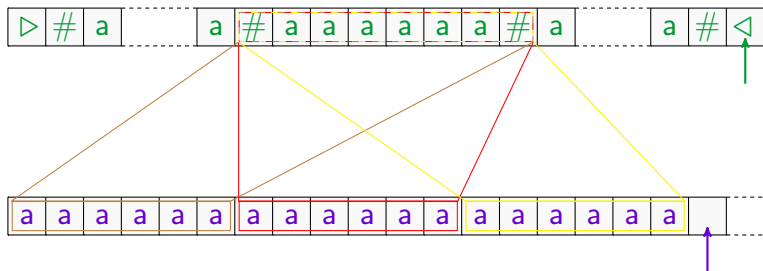
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# Two-way transducers VERSUS Algebra

transducer	one-way	rotating	sweeping	two-way
general				
input unary				
output unary				
input and output unary				

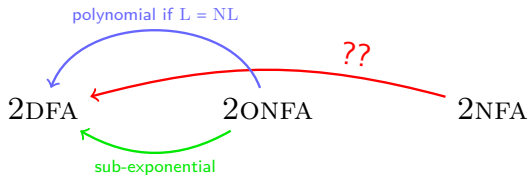
# Two-way transducers VERSUS Algebra

functional case

transducer	one-way	rotating	sweeping	two-way	
general			MHAD	<del>MHAD</del>	
input unary		HAD			
output unary					
input and output unary					

# Conclusion

## Descriptive complexity

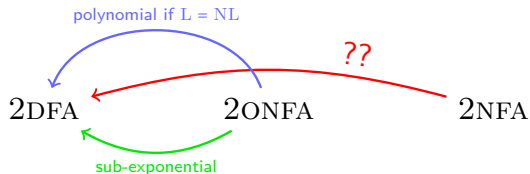


## Two-way transducers

transducer	one-way	rotating	sweeping	two-way
general			MHAD	
input unary	RAT	HAD		MHAD
output unary				
input and output unary				

# Conclusion

## Descriptive complexity



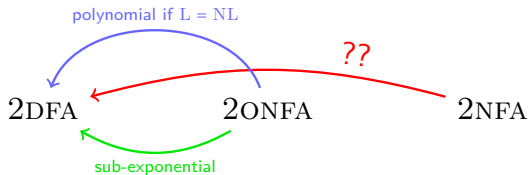
## ▶ Alternating 2onfa

## Two-way transducers

transducer	one-way	rotating	sweeping	two-way
general				
input unary				
output unary				
input and output unary				

# Conclusion

## Descriptive complexity



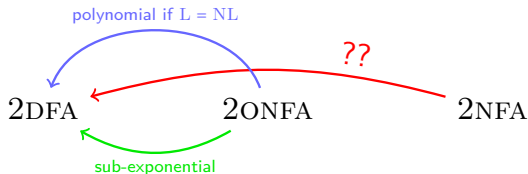
- ▶ **Alternating 2onfa**
- ▶ Other restrictions on nondeterminism of 2NFA

## Two-way transducers

transducer	one-way	rotating	sweeping	two-way
general			MHAD	
input unary	RAT	HAD		MHAD
output unary				
input and output unary				

# Conclusion

## Descriptive complexity



## Two-way transducers

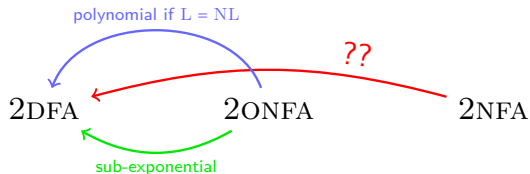
transducer	one-way	rotating	sweeping	two-way
general	RAT	HAD	MHAD	<del>MHAD</del>
input unary				
output unary				
input and output unary				

- ▶ Alternating 2onfa
- ▶ Other restrictions on nondeterminism of 2NFA

- ▶ Uniformization

# Conclusion

## Descriptive complexity



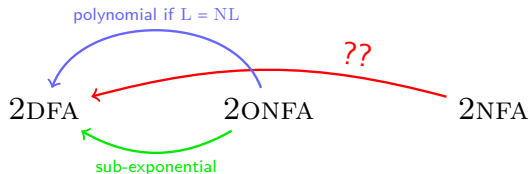
## Two-way transducers

transducer	one-way	rotating	sweeping	two-way
general	RAT	HAD	MHAD	MHAD
input unary				
output unary				
input and output unary				

- ▶ Alternating 2onfa
- ▶ Other restrictions on nondeterminism of 2NFA
- ▶ Uniformization
- ▶ Composition  $R_1 \circ R_2$
- ▶ Transitive closure

# Conclusion

## Descriptive complexity



## Two-way transducers

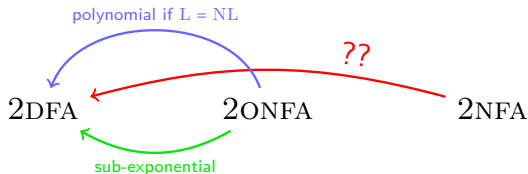
transducer	one-way	rotating	sweeping	two-way
general	RAT	HAD	MHAD	MHAD
input unary				
output unary				
input and output unary				

- ▶ Alternating 2onfa
- ▶ Other restrictions on nondeterminism of 2NFA
  
- ▶ Uniformization
- ▶ Composition  $R_1 \circ R_2$
- ▶ Transitive closure
  
- ▶ Extend to series



# Conclusion

## Descriptive complexity



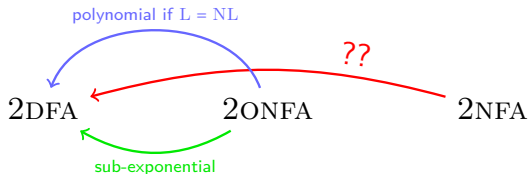
## Two-way transducers

transducer	one-way	rotating	sweeping	two-way
general	RAT	HAD	MHAD	MHAD
input unary				
output unary				
input and output unary				

- ▶ Alternating 2onfa
- ▶ Other restrictions on nondeterminism of 2NFA
- ▶ Uniformization
- ▶ Composition  $R_1 \circ R_2$
- ▶ Transitive closure
- ▶ Extend to series
- ▶ Describe the mirror

# Conclusion

## Descriptive complexity



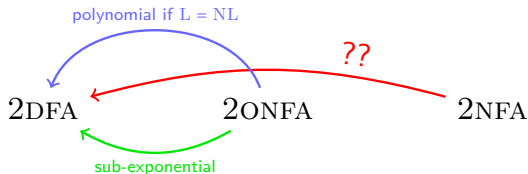
## Two-way transducers

transducer	one-way	rotating	sweeping	two-way
general	RAT	HAD	MHAD	MHAD
input unary				
output unary				
input and output unary				

- ▶ Alternating 2onfa
  - ▶ Other restrictions on nondeterminism of 2NFA
- 
- ▶ Uniformization
  - ▶ Composition  $R_1 \circ R_2$
  - ▶ Transitive closure
- 
- ▶ Extend to series
  - ▶ Describe the mirror
  - ▶ Cost of simulations

# Conclusion

## Descriptive complexity



## Two-way transducers

transducer	one-way	rotating	sweeping	two-way
general	RAT	HAD	MHAD	MHAD
input unary				
output unary				
input and output unary				

- ▶ Alternating 2onfa
- ▶ Other restrictions on nondeterminism of 2NFA
  
- ▶ Uniformization
- ▶ Composition  $R_1 \circ R_2$
- ▶ Transitive closure
  
- ▶ Extend to series
- ▶ Describe the mirror
- ▶ Cost of simulations

Thanks for your attention